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# CHAPTER V

## ETHNIC GROUPS

### 1. "Race" Membershipi

A much more problematic source of social action than the sources analyzed above is "race identity": common inherited and inheritable traits that actually derive from common descent. Of course, race creates a "group" only when it is subjectively perceived as a common trait: this happens only when a neighborhood or the mere proximity of racially different persons is the basis of joint (mostly political) action, or conversely, when some common experiences of members of the same race are linked to some antagonism against members of an obviously different group. The resulting social action is usually merely negative: those who are obviously different are avoided and despised or, conversely, viewed with superstitious awe. Persons who are externally different are simply despised irrespective of what they accomplish or what they are, or they are venerated superstitiously if they are too powerful in the long run. In this case antipathy is the primary and normal reaction. However, this antipathy is shared not just by persons with anthropological similarities, and its extent is by no means determined by the degree of anthropological relatedness; furthermore, this antipathy is linked not only to inherited traits but just as much to other visible differences.

If the degree of objective racial difference can be determined, among other things, purely physiologically by establishing whether hybrids reproduce themselves at approximately normal rates, the subjective aspects, the reciprocal racial attraction and repulsion, might be measured by finding out whether sexual relations are preferred or rare between two groups, and whether they are carried on permanently or temporarily and irregularly. In all groups with a developed "ethnic" consciousness the existence or absence of internarriage (connubium) would then be a normal consequence of racial attraction or segregation. Serious research on the sexual attraction and repulsion between different ethnic groups is only incipient, but there is not the slightest doubt that racial factors,

that means, common descent, influence the incidence of sexual relations and of marriage, sometimes decisively. However, the existence of several million mulattoes in the United States speaks clearly against the assumption of a "natural" racial antipathy, even among quite different races. Apart from the laws against biracial marriages in the Southern states, sexual relations between the two races are now abhorred by both sides, but this development began only with the Emancipation and resulted from the Negroes' demand for equal civil rights. Hence this abhorrence on the part of the Whites is socially determined by the previously sketched tendency toward the monopolization of social power and honor, a tendency which in this case happens to be linked to race.

The connubium itself, that means, the fact that the offspring from a permanent sexual relationship can share in the activities and advantages of the father's political, economic or status group, depends on many circumstances. Under undiminished patriarchal powers, which we treat elsewhere, the father was free to grant equal rights to his children from slaves. Moreover, the glorification of abduction by the hero made racial mixing a normal event within the ruling strata. However, patriarchal discretion was progressively curtailed with the monopolistic closure, by now familiar to us, of political, status or other groups and with the monopolization of marriage opportunities; these tendencies restricted the connubium to the offspring from a permanent sexual union within the given political, religious, economic and status group. This also produced a high incidence of inbreeding. The "endogamy" of a group is probably everywhere a secondary product of such tendencies, if we define it not merely as the fact that a permanent sexual union occurs primarily on the basis of joint membership in some association, but as a process of social action in which only endogamous children are accepted as full members. (The term "sib endogamy" should not be used; there is no such thing unless we want to refer to the levirate marriage and arrangements in which daughters have the right to succession, but these have secondary, religious and political origins.) "Pure" anthropological types are often a secondary consequence of such closure; examples are sects (as in India) as well as pariah peoples, that means, groups that are socially despised yet wanted as neighbors because they have monopolized indispensable skills.

Reasons other than actual racial kinship influence the degree to which blood relationship is taken into account. In the United States the smallest admixture of Negro blood disqualifies a person unconditionally, whereas very considerable admixtures of Indian blood do not. Doubtlessly, it is important that Negroes appear esthetically even more alien than Indians, but it remains very significant that Negroes were slaves

and hence disqualified in the status hierarchy. The conventional connubium is far less impeded by anthropological differences than by status differences, that means, differences due to socialization and upbringing (Bildung in the widest sense of the word). Mere anthropological differences account for little, except in cases of extreme esthetic antipathy.

### 2. The Belief in Common Ethnicity: Its Multiple Social Origins and Theoretical Ambiguities

The question of whether conspicuous "racial" differences are based on biological heredity or on tradition is usually of no importance as far as their effect on mutual attraction or repulsion is concerned. This is true of the development of endogamous conjugal groups, and even more so of attraction and repulsion in other kinds of social intercourse, i.e., whether all sorts of friendly, companionable, or economic relationships between such groups are established easily and on the footing of mutual trust and respect, or whether such relationships are established with difficulty and with precautions that betray mistrust.

The more or less easy emergence of social circles in the broadest sense of the word (soziale Verkehrsgemeinschaft) may be linked to the most superficial features of historically accidental habits just as much as to inherited racial characteristics. That the different custom is not understood in its subjective meaning since the cultural key to it is lacking, is almost as decisive as the peculiarity of the custom as such. But, as we shall soon see, not all repulsion is attributable to the absence of a "consensual group." Differences in the styles of beard and hairdo, clothes, food and eating habits, division of labor between the sexes, and all kinds of other visible differences can, in a given case, give rise to repulsion and contempt, but the actual extent of these differences is irrelevant for the emotional impact, as is illustrated by primitive travel descriptions, the Histories of Herodotus or the older prescientific ethnography. Seen from their positive aspect, however, these differences may give rise to consciousness of kind, which may become as easily the bearer of group relationships as groups ranging from the household and neighborhood to political and religious communities are usually the bearers of shared customs. All differences of customs can sustain a specific sense of honor or dignity in their practitioners. The original motives or reasons for the inception of different habits of life are forgotten and the contrasts are then perpetuated as conventions. In this manner, any group can create customs, and it can also effect, in certain circumstances very decisively, the selection of anthropological types. This it can do by providing favorable chances of survival and reproduction for certain hereditary qualities and traits. This holds both for internal assimilation and for external differentiation.

Any cultural trait, no matter how superficial, can serve as a starting point for the familiar tendency to monopolistic closure. However, the universal force of imitation has the general effect of only gradually changing the traditional customs and usages, just as anthropological types are changed only gradually by racial mixing. But if there are sharp boundaries between areas of observable styles of life, they are due to conscious monopolistic closure, which started from small differences that were then cultivated and intensified; or they are due to the peaceful or warlike migrations of groups that previously lived far from each other and had accommodated themselves to their heterogeneous conditions of existence. Similarly, strikingly different racial types, bred in isolation, may live in sharply segregated proximity to one another either because of monopolistic closure or because of migration. We can conclude then that similarity and contrast of physical type and custom, regardless of whether they are biologically inherited or culturally transmitted, are subject to the same conditions of group life, in origin as well as in effectiveness, and identical in their potential for group fornation. The difference lies partly in the differential instability of type and custom, partly in the fixed (though often unknown) limit to engendering new hereditary qualities. Compared to this, the scope for assimilation of new customs is incomparably greater, although there are considerable variations in the transmissibility of traditions.

Almost any kind of similarity or contrast of physical type and of habits can induce the belief that affinity or disaffinity exists between groups that attract or repel each other. Not every belief in tribal affinity, however, is founded on the resemblance of customs or of physical type. But in spite of great variations in this area, such a belief can exist and can develop group-forming powers when it is buttressed by a memory of an actual migration, be it colonization or individual migration. The persistent effect of the old ways and of childhood reminiscences continues as a source of native-country sentiment (Heimatsgefühl) among emigrants even when they have become so thoroughly adjusted to the new country that return to their homeland would be intolerable (this being the case of most German-Americans, for example).

In colonies, the attachment to the colonists' homeland survives despite considerable mixing with the inhabitants of the colonial land and despite profound changes in tradition and hereditary type as well. In case of political colonization, the decisive factor is the need for political support. In general, the continuation of relationships created by

marriage is important, and so are the market relationships, provided that the "customs" remained unchanged. These market relationships between the homeland and the colony may be very close, as long as the consumer standards remain similar, and especially when colonies are in an almost absolutely alien environment and within an alien political territory.

The belief in group affinity, regardless of whether it has any objective foundation, can have important consequences especially for the formation of a political community. We shall call "ethnic groups" those human groups that entertain a subjective belief in their common descent because of similarities of physical type or of customs or both, or because of memories of colonization and migration; this belief must be important is for the propagation of group formation; conversely, it does not matter whether or not an objective blood relationship exists. Ethnic membership (Gemeinsamkeit) differs from the kinship group precisely by being a presumed identity, not a group with concrete social action, like the latter. In our sense, ethnic membership does not constitute a group; it only facilitates group formation of any kind, particularly in the political sphere. On the other hand, it is primarily the political community, no matter how artificially organized, that inspires the belief in common ethnicity. This belief tends to persist even after the disintegration of the political community, unless drastic differences in the custom, physical type, or, above all, language exist among its members.

This artificial origin of the belief in common ethnicity follows the previously described pattern [cf. chapter II:3] of rational association turning into personal relationships. If rationally regulated action is not widespread, almost any association, even the most rational one, creates an overarching communal consciousness; this takes the form of a brotherhood on the basis of the belief in common ethnicity. As late as the Greek city state, even the most arbitrary division of the polis became for the member an association with at least a common cult and often a common fictitious ancestor. The twelve tribes of Israel were subdivisions of a political community, and they alternated in performing certain functions on a monthly basis. The same holds for the Greek tribes (phylai) and their subdivisions; the latter, too, were regarded as units of common ethnic descent. It is true that the original division may have been induced by political or actual ethnic differences, but the effect was the same when such a division was made quite rationally and schematically, after the break-up of old groups and relinquishment of local cohesion, as it was done by Cleisthenes. It does not follow, therefore, that the Greek polis was actually or originally a tribal or lineage state, but that ethnic fictions were a sign of the rather low degree of rationalization of Greek political life. Conversely, it is a symptom of the greater rationalization of Rome that its old schematic subdivisions (curioe) took on religious importance, with a pretense to ethnic origin, to only a small degree.

The belief in common ethnicity often delimits "social circles," which in turn are not always identical with endogamous connubial groups, for greatly varying numbers of persons may be encompassed by both. Their similarity rests on the belief in a specific "honor" of their members, not shared by the outsiders, that is, the sense of "ethnic honor" (a phenomenon closely related to status honor, which will be discussed later). These few remarks must suffice at this point. A specialized sociological study of ethnicity would have to make a finer distinction between these concepts than we have done for our limited purposes.

Groups, in turn, can engender sentiments of likeness which will persist even after their demise and will have an "ethnic" connotation. The political community in particular can produce such an effect. But most directly, such an effect is created by the language group, which is the bearer of a specific "cultural possession of the masses" (Massenkulturgut) and makes mutual understanding (Verstehen) possible or easier.

Wherever the memory of the origin of a community by peaceful secession or emigration ("colony," ver sacrum, and the like) from a mother community remains for some reason alive, there undoubtedly exists a very specific and often extremely powerful sense of ethnic identity, which is determined by several factors: shared political memories or, even more importantly in early times, persistent ties with the old cult, or the strengthening of kinship and other groups, both in the old and the new community, or other persistent relationships. Where these ties are lacking, or once they cease to exist, the sense of ethnic group membership is absent, regardless of how close the kinship may be

Apart from the community of language, which may or may not coincide with objective, or subjectively believed, consanguinity, and apart from common religious belief, which is also independent of consanguinity, the ethnic differences that remain are, on the one hand, esthetically conspicuous differences of the physical appearance (as mentioned before) and, on the other hand and of equal weight, the perceptible differences in the conduct of everyday life. Of special importance are precisely those items which may otherwise seem to be of small social relevance, since when ethnic differentiation is concerned it is always the conspicuous differences that come into play.

Common language and the ritual regulation of life, as determined by shared religious beliefs, everywhere are conducive to feelings of ethnic affinity, especially since the intelligibility of the behavior of others is the most fundamental presupposition of group formation. But since we shall not consider these two elements in the present context, we ask: what is

it that remains? It must be admitted that palpable differences in dialect and differences of religion in themselves do not exclude sentiments of common ethnicity. Next to pronounced differences in the economic way of life, the belief in ethnic affinity has at all times been affected by outward differences in clothes, in the style of housing, food and eating habits, the division of labor between the sexes and between the free and the unfree. That is to say, these things concern one's conception of what is correct and proper and, above all, of what affects the individual's sense of honor and dignity. All those things we shall find later on as objects of specific differences between status groups. The conviction of the excellence of one's own customs and the inferiority of alien ones, a conviction which sustains the sense of ethnic honor, is actually quite analogous to the sense of honor of distinctive status groups.

The sense of ethnic honor is a specific honor of the masses (Massenehre), for it is accessible to anybody who belongs to the subjectively believed community of descent. The "poor white trash," i.e., the propertyless and, in the absence of job opportunities, very often destitute white inhabitants of the southern states of the United States of America in the period of slavery, were the actual bearers of racial antipathy, which was quite foreign to the planters. This was so because the social honor of the "poor whites," was dependent upon the social déclassement of the Negroes.

And behind all ethnic diversities there is somehow naturally the notion of the "chosen people," which is merely a counterpart of status differentiation translated into the plane of horizontal co existence. The idea of a chosen people derives its popularity from the fact that it can be claimed to an equal degree by any and every member of the mutually despising groups, in contrast to status differentiation which always rests on subordination. Consequently, ethnic repulsion may take hold of all conceivable differences among the notions of propriety and transform them into "ethnic conventions."

Besides the previously mentioned elements, which were still more or less closely related to the economic order, conventionalization (a term expounded elsewhere) may take hold of such things as a hairdo or style of beard and the like. The differences thereof have an "ethnically" repulsive effect, because they are thought of as symbols of ethnic membership. Of course, the repulsion is not always based merely on the "symbolic" character of the distinguishing traits. The fact that the Scythian women oiled their hair with butter, which then gave off a rancid odor, while Greek women used perfumed oil to achieve the same purpose, thwarted—according to an ancient report—all attempts at social intercourse between the aristocratic ladies of these two groups. The smell of

butter certainly had a more compelling effect than even the most prominent racial differences, or—as far as I could see—the "Negro odor," of which so many fables are told. In general, racial qualities are effective only as limiting factors with regard to the belief in common ethnicity, such as in case of an excessively heterogeneous and esthetically unaccepted physical type; they are not positively group-forming.

Pronounced differences of custom, which play a role equal to that of inherited physical type in the creation of feelings of common ethnicity and notions of kinship, are usually caused, in addition to linguistic and religious differences, by the diverse economic and political conditions of various social groups. If we ignore cases of clear-cut linguistic boundaries and sharply demarcated political or religious communities as a basis of differences of custom—and these in fact are lacking in wide areas of the African and South American continents—then there are only gradual transitions of custom and no immutable ethnic frontiers, except those due to gross geographical differences. The sharp demarcations of areas wherein ethnically relevant customs predominate, which were not conditioned either by political or economic or religious factors, usually came into existence by way of migration or expansion, when groups of people that had previously lived in complete or partial isolation from each other and became accommodated to heterogeneous conditions of existence came to live side by side. As a result, the obvious contrast usually evokes, on both sides, the idea of blood disaffinity (Blutsfremdheit), regardless of the objective state of affairs.

It is understandably difficult to determine in general—and even in a concrete individual case—what influence specific ethnic factors (i.e., the belief in a blood relationship, or its opposite, which rests on similarities, or differences, of a person's physical appearance and style of life) have on the formation of a group.

There is no difference between the ethnically relevant customs and customs in general, as far as their effect is concerned. The belief in common descent, in combination with a similarity of customs, is likely to promote the spread of the activities of one part of an ethnic group among the rest, since the awareness of ethnic identity furthers imitation. This is especially true of the propaganda of religious groups.

It is not feasible to go beyond these vague generalizations. The content of joint activities that are possible on an ethnic basis remains indefinite. There is a corresponding ambiguity of concepts denoting ethnically determined action, that means, determined by the belief in blood relationship. Such concepts are Völkerschaft, Stamm (tribe), Volk (people), each of which is ordinarily used in the sense of an ethnic subdivision of the following one (although the first two may be used in

reversed order). Using such terms, one usually implies either the existence of a contemporary political community, no matter how loosely organized, or memories of an extinct political community, such as they are preserved in epic tales and legends; or the existence of a linguistic or dialect group; or, finally, of a religious group. In the past, cults in particular were the typical concomitant of a tribal or Volk consciousness. But in the absence of the political community, contemporary or past, the external delimitation of the group was usually indistinct. The cult communities of Germanic tribes, as late as the Burgundian period [6th century A.D.], were probably rudiments of political communities and therefore pretty well defined. By contrast, the Delphian oracle, the undoubted cultic symbol of Hellenism, also revealed information to the barbarians and accepted their veneration, and it was an organized cult only among some Greek segments, excluding the most powerful cities. The cult as an exponent of ethnic identity is thus generally either a remnant of a largely political community which once existed but was destroyed by disunion-and colonization, or it is-as in the case of the Delphian Apollo-a product of a Kulturgemeinschaft brought about by other than purely ethnic conditions, but which in turn gives rise to the belief in blood relationship. All history shows how easily political action can give rise to the belief in blood relationship, unless gross differences of anthropological type impede it.

# 3. Tribe and Political Community: The Disutility of the Notion of "Ethnic Group"

The tribe is clearly delimited when it is a subdivision of a polity, which, in fact, often establishes it. In this case, the artificial origin is revealed by the round numbers in which tribes usually appear, for example, the previously mentioned division of the people of Israel into twelve tribes, the three Doric phylai and the various phylai of the other Hellenes. When a political community was newly established or reorganized, the population was newly divided. Hence the tribe is here a political artifact, even though it soon adopts the whole symbolism of blood-relationship and particularly a tribal cult. Even today it is not rare that political artifacts develop a sense of affinity akin to that of blood-relationship. Very schematic constructs such as those states of the United States that were made into squares according to their latitude have a strong sense of identity; it is also not rare that families travel from New York to Richmond to make an expected child a "Virginian."

Such artificiality does not preclude the possibility that the Hellenic phylai, for example, were at one time independent and that the polis used them schematically when they were merged into a political association. However, tribes that existed before the polis were either identical with the corresponding political groups which were subsequently associated into a polis, and in this case they were called ethnos, not phyle; or, as it probably happened many times, the politically unorganized tribe, as a presumed "blood community," lived from the memory that it once engaged in joint political action, typically a single conquest or defense, and then such political memories constituted the tribe. Thus, the fact that tribal consciousness was primarily formed by common political experiences and not by common descent appears to have been a frequent source of the belief in common ethnicity.

Of course, this was not the only source: Common customs may have diverse origins. Ultimately, they derive largely from adaptation to natural conditions and the imitation of neighbors. In practice, however, tribal consciousness usually has a political meaning; in case of military danger or opportunity, it easily provides the basis for joint political action on the part of tribal members or Volksgenossen who consider one another as blood relatives. The eruption of a drive to political action is thus one of the major potentialities inherent in the rather ambiguous notions of tribe and people. Such intermittent political action may easily develop into the moral duty, of all members of tribe or people (Volk) to support one another in case of a military attack, even if there is no corresponding political association; violators of this solidarity may suffer the fate of the [Germanic, pro-Roman] sibs of Segestes and Inguiomer—expulsion from the tribal territory—, even if the tribe has no organized government. If the tribe has reached this stage, it has indeed become a continuous political community, no matter how inactive in peacetime, and hence unstable, it may be. However, even under favorable conditions the transition from the habitual to the customary and therefore obligatory is very fluid. All in all, the notion of "ethnically" determined social action subsumes phenomena that a rigorous sociological analysis—as we do not aftempt it here—would have to distinguish carefully: the actual subjective effect of those customs conditioned by heredity and those determined by tradition; the differential impact of the varying content of custom; the influence of common language, religion and political action, past and present, upon the formation of customs; the extent to which such factors create attraction and repulsion, and especially the belief in affinity or disaffinity of blood; the consequences of this belief for social action in general, and specifically for action on the basis of shared custom or blood relationship, for diverse sexual relations, etc.--all of this would

have to be studied in detail. It is certain that in this process the collective term "ethnic" would be abandoned, for it is unsuitable for a really rigorous analysis. However, we do not pursue sociology for its own sake and therefore limit ourselves to showing briefly the diverse factors that are hidden behind this seemingly uniform phenomenon.

The concept of the "ethnic" group, which dissolves if we define our terms exactly, corresponds in this regard to one of the most vexing, since emotionally charged concepts: the nation, as soon as we attempt a socio-

logical definition.

### 4. Nationality and Cultural Prestige<sup>2</sup>

The concept of "nationality" shares with that of the "people" (Volk)—in the "ethnic" sense—the vague connotation that whatever is felt to be distinctively common must derive from common descent. In reality, of course, persons who consider themselves members of the same nationality are often much less related by common descent than are persons belonging to different and hostile nationalities. Differences of nationality may exist even among groups closely related by common descent, merely because they have different religious persuasions, as in the case of Serbs and Croats. The concrete reasons for the belief in joint nationality and for the resulting social action vary greatly.

Today, in the age of language conflicts, a shared common language is pre eminently considered the normal basis of nationality. Whatever the "nation" means beyond a mere "language group" can be found in the specific objective of its social action, and this can only be the autonomous polity. Indeed, "nation state" has become conceptually idéntical with "state" based on common language. In reality, however, such modem nation states exist next to many others that comprise several language groups, even though these others usually have one official language. A common language is also insufficient in sustaining a sense of national identity (Nationalgefühl)—a concept which we will leave undefined for the present. Aside from the examples of the Serbs and Croats, this is demonstrated by the Irish, the Swiss and the German-speaking Alsatians; these groups do not consider themselves as members, at least not as full members, of the "nation" associated with their language. Conversely, language differences do not necessarily preclude a sense of joint nationality: The German-speaking Alsatians considered themselves—and most of them still do-as part of the French "nation," even though not in the same sense as French-speaking nationals. Hence there are qualitative degrees of the belief in common nationality.

Many German-speaking Alsatians feel a sense of community with the French because they share certain customs and some of their "sensual culture" (Sinnenkultur) -- as Wittich in particular has pointed out -- and also because of common political experiences. This can be understood by any visitor who walks through the museum in Colmar, which is rich in relics such as tricolors, pompier and military helmets, edicts by Louis Philippe and especially memorabilia from the French Revolution; these may appear trivial to the outsider, but they have sentimental value for the Alsatians.3 This sense of community came into being by virtue of common political and, indirectly, social experiences which are highly valued by the masses as symbols of the destruction of feudalism, and the story of these events takes the place of the heroic legends of primitive peoples. La grande nation was the liberator from feudal servitude, she was the bearer of civilization (Kultur), her language was the civilized language; German appeared as a dialect suitable for everyday communication. Hence the attachment to those who speak the language of civilization is an obvious parallel to the sense of community based on common language, but the two phenomena are not identical; rather, we deal here with an attitude that derives from a partial sharing of the same culture and from shared political experiences.

Until a short time ago most Poles in Upper Silesia had no strongly developed sense of Polish nationality that was antagonistic to the Prussian state, which is based essentially on the German language. The Poles were loyal if passive "Prussians," but they were not "Germans" interested in the existence of the Reich; the majority did not feel a conscious or a strong need to segregate themselves from German-speaking fellow-citizens. Hence, in this case there was no sense of nationality based on common language, and there was no Kulturgemeinschaft in view of the lack of cultural development.

Among the Baltic Germans we find neither much of a sense of nationality amounting to a high valuation of the language bonds with the Germans, nor a desire for political union with the Reich; in fact, most of them would abhor such a unification. However, they segregate themselves rigorously from the Slavic environment, and especially from the Russians, primarily because of status considerations and partly because both sides have different customs and cultural values which are mutually unintelligible and disdained. This segregation exists in spite of, and partly because of, the fact that the Baltic Germans are intensely loyal vassals of the Tsar and have been as interested as any "national" Russian (Nationalrusse) in the predominance of the Imperial Russian system, which they provide with officials and which in turn maintains their descendants. Hence, here too we do not find any sense of-nationality in

the modern meaning of the term (oriented toward a common language and culture). The case is similar to that of the purely proletarian Poles: loyalty toward the state is combined with a sense of group identity that is limited to a common language group within this larger community and strongly modified by status factors. Of course, the Baltic Germans are no longer a cohesive status group, even though the differences are not as extreme as within the white population of the American South.

Finally, there are cases for which the term nationality does not seem to be quite fitting; witness the sense of identity shared by the Swiss and the Belgians or the inhabitants of Luxemburg and Liechtenstein. We hesitate to call them "nations," not because of their relative smallness—the Dutch appear to us as a nation—, but because these neutralized states have purposively forsaken power. The Swiss are not a nation if we take as criteria common language or common literature and art. Yet they have a strong sense of community despite some recent disintegrative tendencies. This sense of identity is not only sustained by loyalty toward the body politic but also by what are perceived to be common customs (irrespective of actual differences). These customs are largely shaped by the differences in social structure between Switzerland and Germany, but also all other big and hence militaristic powers. Because of the impact of bigness on the internal power structure, it appears to the Swiss that their customs can be preserved only by a separate political existence.

The loyalty of the French Canadians toward the English polity is today determined above all by the deep antipathy against the economic and social structure, and the way of life, of the neighboring United States; hence membership in the Dominion of Canada appears as a guarantee of their own traditions.

This classification could easily be enlarged, as every rigorous sociological investigation would have to do. It turns out that feelings of identity subsumed under the term "national" are not uniform but may derive from diverse sources: Differences in the economic and social structure and in the internal power structure, with its impact on the customs, may play a role, but within the German Reich customs are very diverse; shared political memories, religion, language and, finally, racial features may be source of the sense of nationality. Racial factors often have a peculiar impact. From the viewpoint of the Whites in the United States, Negroes and Whites are not united by a common sense of nationality, but the Negroes have a sense of American nationality at least by claiming a right to it. On the other hand, the pride of the Swiss in their own distinctiveness, and their willingness to defend it vigorously, is neither qualitatively different nor less widespread than the same attitudes in any "great" and powerful "nation." Time and again we find that the

concept "nation" directs us to political power. Hence, the concept seems to refer—if it refers at all to a uniform phenomenon—to a specific kind of pathos which is linked to the idea of a powerful political community of people who share a common language, or religion, or common customs, or political memories; such a state may already exist or it may be desired. The more power is emphasized, the closer appears to be the link between nation and state. This pathetic pride in the power of one's own community, or this longing for it, may be much more widespread in relatively small language groups such as the Hungarians, Czechs or Greeks than in a similar but much larger community such as the Germans 150 years ago, when they were essentially a language group without pretensions to national power.

### NOTES

1. On race and civilization, see also Weber's polemical speech against A. Ploetz at the first meeting of the German Sociological Association, Frankfurt, 1910, in GAzSS, 456–62. Two years later, at the second meeting of the Association in Berlin, Weber took the floor again after a presentation by Franz Oppenheimer. Among other things, Weber said (op. cit., 489):

"With race theories you can prove and disprove anything you want. It is a scientific crime to attempt the circumvention, by the uncritical use of completely unclarified racial hypotheses, of the sociological study of Antiquity, which of course is much more difficult, but by no means without hope of success; after all, we can no longer find out to what extent the qualities of the Hellenes and Romans rested on inherited dispositions. The problem of such relationships has not yet been solved by the most careful and toilsome investigations of living subjects, even if undertaken in the laboratory and with the means of exact experimentation."

2. Cf. the related section on "The Nation" in ch. IX: 5.

3. See Werner Wittich, Deutsche und französische Kultur im Elsass (Strassburg: Schlesier und Schweikhardt, 1900), 38ff; for a French transl., see "Le génie national des races française et allemande en Alsace." Revue internationale de Sociologie, vol. X, 1902, 777-824 and 857-907, esp. 814ff. Cf. also Weber, GAzRS, I, 25, n. 1; GAzSS, 484. "Outsiders," in contrast to the pre-1914 custodian who showed Weber his greatest treasures, cherish the Colmar museum for one of the most powerful works of art of the late Middle Ages, Grünewald's "Isenheim Altar."